### Information pursuant to Articles 13, 14, 21 and 49 of the General Data Protection Regulation (GDPR) –

Dear investors and interested parties,

Pursuant to Articles 13, 14, 21 and 49 of the German Data Protection Act (GDPR), we hereby inform you about the processing of your personal data by us and the claims and rights to which you are entitled under the data protection regulations.

### 1. Who is responsible for data processing and whom can I contact?

#### Responsible for data processing:

SONO MOTORS GmbH Waldmeisterstr. 76 80935 München

E-Mail: info@sonomotors.com Telefon: +49 (0)89 – 452018

#### You can reach our company data protection officer at:

SONO MOTORS GmbH Datenschutzbeauftragter Waldmeisterstr. 76 80935 München

E-Mail: dataprivacy@sonomotors.com Telefon: +49 (0)89 – 452018

#### 2. What sources and data do we use?

We process personal data that we receive from you within the context of our business relationship or its initiation.

In addition, we process personal data that we have received from other companies or from other third parties in a permissible manner (e.g. for the execution of the participation agreement or on the basis of a consent granted by you).

On the other hand, we process personal data that we have lawfully obtained

from publicly accessible sources (e.g. land registers, commercial and association registers, press, media) and are permitted to process.

Relevant personal data of contact persons are name, contact and communication data (telephone no., e-mail address, etc.). In the case of business partners, this may additionally include personal data (name, address and other contact data, date and place of birth and nationality), legitimation data (e.g. ID data) and authentication data (e.g. specimen signature). Furthermore, this may also be data from the fulfilment of contractual obligations (e.g. participation regulations, guarantees, liquidation preference, etc.), contract and documentation data (e.g. order data, business letters), payment transaction data (account number, bank details), as well as other data comparable with the aforementioned categories.

## 3. For what purpose we process your data (purpose of processing) and on what legal basis?

We process personal data in accordance with the provisions of the European Data Protection Regulation (GDPR) and the German Federal Data Protection Act (BDSG):

## a) For the fulfilment of contractual obligations (Art. 6 para. 1 lit. b) GDPR or Art. 49 para. 1 lit. b) GDPR)

The processing of personal data (Art. 4 No. 2 DS-GVO) is carried out in order to implement our contracts with you and all activities necessary with the operation and administration of a company.

The purposes of the data processing primarily depend on your specific participation in the company.

You can find further details on the purpose of data processing in the respective contract documents and terms and conditions.

# b) On the basis of interest balancing in the case of conflicting interests (Art. 6 para. 1 lit. f) GDPR or Art. 49 para. 1 lit. c) GDPR)

Where necessary, we process your data beyond the actual performance of the contract to protect legitimate interests of us or third parties. Examples:

- Advertising or market and opinion research, provided you have not objected to the use of your data;
- Assertion of legal claims and defense in legal disputes;
- Ensuring IT security and IT operations;
- Prevention and investigation of criminal offences;

- Video surveillance to collect evidence in the event of criminal offences. They thus serve to protect customers and employees.
- Measures for building and facility security (e.g. access controls);
- Measures to ensure domiciliary rights;
- Measures for business management and further development of services and products.

## c) On the basis of interest balancing in the case of similar interests (Art. 49 para. 1 lit. c) GDPR)

If the balance of interests shows that both the controller and the data subject have an interest in the data transfer to a third country, the data transfer is based on Art. 49 (1) c) GDPR.

## d) Based on your consent (Art. 6 para. 1 lit. a) GDPR, Art. 49 para. 1 lit. a) GDPR)

If you have given us consent to process personal data for certain purposes (e.g. transfer of data to third parties, evaluation of data for marketing purposes), this processing is lawful on the basis of your consent. A given consent can be revoked at any time.

Please note that the revocation is only effective for the future. Processing that took place before the revocation is not affected.

## e) Due to legal requirements (Art. 6 para. 1 lit. c) GDPR) or in the public interest (Art. 6 para. 1 lit. e) GDPR)

In addition, we are subject to various legal obligations, i.e. legal requirements (e.g. commercial law, tax laws, etc.). If data is processed in this respect, this is done exclusively on the basis of these regulations.

## 4. Who gets my data?

Within the company, those departments receive your data that need it to fulfil our contractual and legal obligations. Processors used by us (Art. 28 GDPR) may also receive data for these purposes. These are companies in the categories of IT services, logistics, printing services, telecommunications, debt collection, advice and consulting as well as sales and marketing and address determination.

With regard to the transfer of data to recipients outside the company, please note that we will only transfer your data if this is permitted or required by law, if you have consented or if we are authorised to provide information. Under these conditions, recipients of personal data can be, for example:

- Public authorities, institutions or comparable bodies (e.g. tax office, public prosecutor's office, lawyers, police, supervisory authorities) in the event of a legal or official obligation.
- Other companies to which we transfer personal data in order to carry out the business relationship with you (depending on the contract: e.g. banks, credit agencies, suppliers).

Further data recipients may be those departments for which you have given us your consent to transfer data.

### 5. How long will my data be stored?

If necessary, we process and store your personal data for the duration of our business relationship, which also includes, for example, the initiation and execution of a contract or for the fulfilment of the contractual purposes.

In addition, we are subject to various storage and documentation obligations, which result from the German Commercial Code (HGB) and the German Fiscal Code (AO), among others. The storage and documentation periods specified there range from two to ten years.

Finally, the storage period is also assessed according to the statutory limitation periods, which, for example, according to §§ 195 et seq. of the German Civil Code (BGB), are generally three years, but in certain cases can also be up to thirty years.

## 6. Is data transferred to a third country or to an international organization?

Data is only transferred to third countries (countries outside the European Economic Area (EEA)) if this is necessary to execute your orders (e.g. payment orders), if required by law or if you have given us your consent. We will inform you separately about the details, if required by law.

## 7. Which data protection rights do I have?

Every data subject has the right of **access** under Article 15 of the GDPR, the right to **rectification** under Article 16 of the GDPR, the right to **erasure** under Article 17 of the GDPR, the right to **restriction of processing** under Article 18 of the GDPR and the right to **data portability** under Article 20 of the GDPR. With

regard to the right of access and the right to erasure, the restrictions according to §§ 34 and 35 BDSG apply. In addition, there is a right of appeal to a data protection supervisory authority (Art. 77 GDPR in conjunction with § 19 BDSG).

### 8. Is there an obligation for me to provide data?

In the context of our business relationship, you only have to provide those personal data that are necessary for the establishment, execution and termination of a business relationship or which we are legally obliged to collect. Without this data, we will usually have to refuse the conclusion of the contract or the execution of the order or we will no longer be able to execute an existing contract and may have to terminate it.

In particular, we are obliged under Money Laundering Regulations to identify you before the establishment of the business relationship, for example by using your identity card, and to collect your name, place of birth, date of birth, nationality and residential address. In order for us to be able to comply with this legal obligation, you must provide us with the necessary information and documents in accordance with the Money Laundering Act and notify us immediately of any changes that occur in the course of the business relationship. If you do not provide us with the necessary information and documents, we may not enter into or continue the business relationship requested by you.

#### 9. To what extent is there automated decision-making in individual cases?

As a matter of principle, we do not use fully automated decision-making pursuant to Art. 22 GDPR for the establishment and implementation of the business relationship. Should we use these procedures in individual cases, we will inform you of this separately, as far as this is required by law.

### 10. To what extent will my data be used for profiling development (scoring)?

We do not process your data with the aim of evaluating certain personal aspects (profiling).

## Information about your right to object according to Art. 21 of the General Data Protection Regulation (GDPR)

#### 1. Right to object in individual cases

You have the right to object at any time, on grounds relating to your particular situation, to the processing of personal data concerning you under Article 6 para. 1 lit. e) of the GDPR (data processing in the public interest) and Article 6(1)(f) of the GDPR (data processing based on a balance of interests); this also applies to profiling based on this provision within the meaning of Article 4 No. 4 of the GDPR.

If you object, we will no longer process your personal data unless we can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms, or the processing serves to assert, exercise or defend legal claims.

#### 2. Right to object to processing of data for direct marketing purposes

In individual cases, we process your personal data for direct marketing purposes. You have the right to object at any time to the processing of personal data concerning you for the purpose of such advertising; this also applies to profiling provided it is associated with such direct advertising.

If you object to processing for direct marketing purposes, we will no longer process your personal data for these purposes.

The objection can be made form-free and should preferably be addressed to:

SONO MOTORS GmbH Waldmeisterstr. 76 80935 München E-Mail: info@sonomotors.com Telefon: +49 (0)89 – 452018